

| | E | F | CSF - Compliance | CSF - General Subjects | CSF - Insurance of Persons | CSF - Group Savings Plan Brokerage | IQPF - SFPA | IQPF - SC |
|--|---|---|------------------|------------------------|----------------------------|------------------------------------|-----------------|-----------|
| Retail Banking | | | | | | | | |
| Ethical Practice in the Financial Service Industry | * | * | 10 | | | | | 5 |
| Financial Planning and Insurance | | | | | | | | |
| Behavioural Finance - Get Inside Your Client's Mind | * | * | | | | 9 | | |
| Financial Planning for Businesses - Quebec | * | * | | | | 20 | | |
| Financial Planning for Snowbirds | * | * | | | | | 5 | |
| Financial Planning I (FP I) | * | * | | | 10 | 10 | | |
| Financial Planning II (FP II) | * | * | | | 10 | 10 | | |
| Financial Services Industry: Business Drivers and Challenges | * | * | 5 | 10 | 1 | 10 | | |
| Fundamentals of Personal Finance | * | * | | 20 | | | | |
| Hedge Funds and Liquid Alternatives for Mutual Fund Representatives | * | * | | | | 6 | 5 | 1 |
| Generating Retirement Income (GRICE) | * | * | | 4 | | | 4 | |
| Individual Pension Plans | * | * | | | | | 3 | |
| Insurance and Retirement - Quebec | * | * | | | 10 | 10 | | |
| Insurance for Investment and Tax Minimization Purposes (IITMPCE) | * | * | | 3 | | | 3 | |
| Investing in Bitcoin: Opportunities and Risks | * | * | 1 | | | 4 | 4 | 1 |
| Investment and Tax Planning - Quebec | * | * | | 7 | | 3 | | |
| Investment and Taxation Fundamentals - Quebec | * | * | | | | 10 | | |
| Investment Strategies for Retirees (IPR) | * | * | | | | 3 | 10 | |
| Legal and Ethical Aspects of Financial Planning | * | * | 10 | 10 | | | | |
| LLQP Insurance Course (Canada, Common Law or Civil Code) | * | * | 10 | | | 10 | 15 | 5 |
| Negative Interest Rates: Origins and Implications (NIRCE) | * | * | | 2 | | 1 | 3 | |
| Personal Financial Services Advice | * | * | 10 | | | 10 | | |
| Principal-Protected Notes | * | * | | | | 6 | 6 | |
| Responsible Investing Using ESG Factors | * | * | | | | 3 | 2 | |
| Retirement Accumulation Structures and Strategies (RASSCE) | * | * | | 7 | | | 7 | |
| Risk Management and Estate Planning - Quebec | * | * | | | 10 | 10 | | |
| Social Media Compliance Guidelines (SMC) | * | * | 3 | | | | | |
| Tax Free Savings Account- Rules & Options | * | * | | | | 3 | 3 | |
| Transitioning into Retirement (TIRCE) | * | * | | | | 4 | 4 | |
| Investment Management and Trading | | | | | | | | |
| Anti Money Laundering & Terrorist Financing for Securities Professionals | * | * | 3 | | | | | |
| Canadian Securities Course (CSC) | * | * | E1 - 5 | | | E1 - 10 E2 - 10 | E1 - 15 E2 - 15 | E1 - 5 |
| Conduct and Practices Handbook (CPH) | * | * | 12 | 8 | | | 15 | 5 |
| Derivatives Fundamentals and Options Licencing Course (DFOL) | * | * | | 10 | | 10 | | |
| Enhanced Suitability for IIROC Advisors | * | * | 3 | | | | | |
| ETFs for Mutual Fund Representatives | * | * | | | | 6 | | |
| Investment Funds in Canada (IFC) | * | * | 10 | | | 10 | 15 | 5 |
| Investment Management Techniques (IMT) | * | * | | 10 | | 10 | 15 | 5 |
| Portfolio Management Techniques (PMT) | * | * | 2 | 10 | | 10 | 15 | 5 |
| Responsible Investment: Trends and Opportunities (RITO) | * | * | | | | 3 | | |
| The 90-Day MF Skills Builder | * | * | 3 | | | 7 | | |
| Understanding High-Frequency Trading (UHFT) | * | * | | | | 3 | | |
| Wealth Management and Private Banking | | | | | | | | |
| Advanced Investment Strategies (AIS) | * | * | | 10 | | 10 | 15 | |
| Dealing with Special HNW Client Situations | * | * | | | 10 | 10 | 15 | |
| Estate Planning for HNW Clients | * | * | | | 10 | 10 | 15 | |
| Investment Strategies for HNW Clients | * | * | | | 10 | 10 | 15 | |
| Practice Management for High Net Worth Segment | * | * | | | 5 | 5 | | |
| Retirement Planning for HNW Clients | * | * | | | 10 | 10 | 15 | |
| Wealth Management Essentials (WME) | * | * | 5 | | | 10 | 15 | 5 |
| Management, Supervision and Compliance | | | | | | | | |
| AML and Beneficial Ownership (AMLBO) | * | * | 3 | | | | | 3 |
| Branch Compliance Officer's Course | * | * | 10 | | | 10 | | 5 |
| Branch Managers Course | * | * | 10 | 10 | | | | |
| Chief Compliance Officers Qualifying Examination | * | * | 13 | 7 | | | | |
| Compliance with Know Your Product Requirements (CWKYP) | * | * | 3 | | | | | 3 |
| Cybersecurity and Privacy (CYPR) | * | * | 3 | | | | | 3 |
| Insider Trading: Regulations and Prevention | * | * | 5 | | | | | |
| Investing in ETF's (ETFCE) | * | * | | | | 4 | 4 | |
| Managed Accounts: Compliance Issues and Considerations (MAS) | * | * | 3 | | | | | 3 |
| Managing Compensation Related Conflicts of Interest | * | * | 5 | | | | | 5 |
| Partners, Directors and Senior Officers Course | * | * | 10 | 10 | | | | |
| Private Placements and Prospectus-Exempt Securities (PPP) | * | * | 2 | 1 | | | 2 | |
| Role of the Gatekeeper | * | * | 3 | | | | | 3 |
| Trading Compliance for Institutional Registrants (TCINST) | * | * | 5 | | | | | 5 |
| Trading Compliance for Investment Representatives (TCIR) | * | * | 5 | | | | | 5 |
| Working With Vulnerable Clients (WVC) | * | * | 3 | | | | | 3 |

Notice: While CSI makes every effort to ensure that the information on this chart is correct and up-to-date, course accreditation may be subject to change at any time due to content updating and/or accrediting organization assessment requirements.

³ We've submitted this course to the regulator for approval and are currently waiting for notification of course acceptance and confirmation of CE credits.